

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket Number 04-6173	Application Number 10/767,588			
				Applicants Thomas J. DALEY, et al.				
				Filing Date January 29, 2004	Group Art Unit 3695			
U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee		Class	Sub-Class	Filing Date
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		WO 2001/093169 A1	12/6/2001	PCT	Waelbroeck et al.			
NON PATENT LITERATURE DOCUMENTS								
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published						
		Australian Examiner's Report for Application No. 2005208980, dated November 17, 2009 (2 pages).						
		Australian Examiner's Report for Application No. 2005208978, dated November 26, 2009 (1 page).						
		Australian Examiner's Report for Application No. 2005208981, dated December 14, 2009 (3 pages).						
		USPTO Office Action for Application No. 10/767,649, August 27, 2009 (20 pages).						
		USPTO Examiner Interview Summary for Application No. 10/767,699, December 23, 2009 (3 pages).						
		USPTO Examiner's Answer to Appeal Brief for Application No. 10/767,699, December 24, 2009 (16 pages).						
		USPTO Examiner's Answer to Appeal Brief for Application No. 10/766,965, January 20, 2010 (19 pages).						
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		George Simon & Kathryn Trikla, "The Regulation of Specialists and Implications for the Future," Business Lawyer, Nov. 2005, pp. 217-387.						
		The 'Hybrid Approach': A review of NYSE's Market Structure Proposal, Mondaq Business Briefing, NA, Dec 9, 2004.						
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